

# WORKING PAPERS IN POLITICAL AND SOCIAL SCIENCES

EUI Working Paper SPS No. 91/13

A Model for the Analysis of Government-Party Relationships

JEAN BLONDEL



0013

Please note

As from January 1990 the EUI Working Paper Series is divided into six sub-series, each sub-series is numbered individually (e.g. EUI Working Paper LAW No. 90/1).

# EUROPEAN UNIVERSITY INSTITUTE, FLORENCE DEPARTMENT OF POLITICAL AND SOCIAL SCIENCES

EUI Working Paper SPS No. 91/13

A Model for the Analysis of Government-Party Relationships

JEAN BLONDEL

BADIA FIESOLANA, SAN DOMENICO (FI)

The Author(s). European University Institute.

All rights reserved.

No part of this paper may be reproduced in any form without permission of the author.

© Jean Blondel
Printed in Italy in December 1991
European University Institute
Badia Fiesolana
I-50016 San Domenico (FI)
Italy

## A MODEL FOR THE ANALYSIS OF GOVERNMENT-PARTY RELATIONSHIPS J. Blondel

In a previous paper, an effort was made to define what is by party government, by party decision and by supporting party in order to establish a base on which to build model designed to examine and ultimately account for types of party government (1). The aim of the present paper is to examine party government: we shall do so following the approach proposed by R.S. Katz, that is to say both in terms of the governments are of party type of a government ('partyness') and in terms of the relative position, so to given government occupies according to a possible 'dimensions' of party government (2).

In order to undertake this analysis, however, we must first circumscribe what may be referred to as the 'space' within which party and government intersect. We shall then look at the dimension or dimensions along which these relationships can be located. It will become possible to identify, third, a number of types of government-party relationships. Finally, it seems

Party-government relationships take place at a number of points which correspond to the different activities or 'functions' undertaken by governments, on the one hand, and by parties, on the other. Together, these points can be regarded as forming = such a space is likely to vary somewhat from country to country, given that the activities of governments and perhaps even more of parties are likely to vary. We need therefore to look. at the activities of governments and the activities of parties to see where and in what ways these are likely to intersect.

coalition For a period at least, the literature on formation concentrated on the relationship between parties and governments at one level, namely that of the allocation 2o€ various parties (3). The underly Png among the assumption was that the way in which parties wanted to intervene in the governmental process was through the composition of the government: this approach was adopted in part because pointed out that parties wanted to achieve 'power' and that membership of the government was the way to fulfil this was also probably adopted because is obviously easier to quantify the extent to which parties participate in a government if numbers Digitised versior

The Author(s).

of ministers of various parties are taken into account; there is moreover little doubt that participation in the government gives substantial opportunities for a party to have a say in public decision-making.

be recognised, however, to that policy component had to be considered alongside the 'power' component: parties are not only interested in placing some of their members in the government: they have programmes which they wish carried out, in part at least (4). Indeed, such a policy component is necessary if one is to account for the part played by which support a minority government without being members of that government (5). Moreover, it has long been felt that the parties was not necessarily exactly proportional to the size of these parties or even to the number of ministers which a study relating to the influence of the FDP in the German government provides clear evidence in this direction (10 Thus it seems that one needs at least two consider two different aspects of government-party relationships if influence of parties is to be realistically assessed.

Yet there are two further elements in this relationship which need to be taken into account. The first concerns the demands may by parties for favours and patronage, especially because, in some countries at least, these play an important part. Admittedly, that part is difficult to measure with precision. Moreover, it is difficult to locate precisely these favours in the

general ocntext of government-party relationships. On hand, they may be regarded as 'policies', more limited in character admittedly, but of the same kind as the nationalo policies which parties put forward: indeed, at the borderline, o some national policies put forward by a political party can also 'bribes' designed to capture segments of the be regarded as the other hand, these favours are typically On concerned with individuals or at most small districts and they are therefore of a different kind from what are usually regarded party policies; as a matter of fact, they are conceived different by the parties themselves, since they are often asked for in secret and at least have typically an character. They should therefore be regarded as forming a separate category.

Indeed, for these reasons, the extent to which favours and patronage are regarded as acceptable or are at least tolerated varies markedly from country to country. Where they are viewed interfering in an unwarranted manner with the 'proper' working administrative bodies, they tend to be restricted and, limit, may only exist on a minute scale. Thus, while they are forms of relationships between governments and the parties supporting these governments (as well as sometimes even the parties in opposition), the extent to which they exist is to depend on the political culture of the country as much as and perhaps even more than on the relative strength of the Digitised version pro involved.

The Author(s).

-5-

Finally, these favours should not be considered only but also in relation to the need for governments to have some instruments with which to put pressure on parties. a large set of trade-off relationships in which governments are engaged. By giving favours to individual of a particular district, a government may ensure the continued support of the party members in that district: may therefore be more readily accepted. Admittedly, patronage is not the only mechanism by which trade-offs occur: for instance a party may be prepared to receive relatively few ministerial posts in exchange for policies which the government is prepared to implement. Indeed, trade-offs occur also at the level of the policies themselves, both among the parties and between the government and the parties which support this government. The set of exchange arrangements is thus extremely complex. Yet patronage and favours are a form of relationship between parties and governments which is both important and distinct the relationships stemming from ministerial appopintments and from policy development. They must therefore be taken into account in a general analysis of these relationships.

Party-government relationships need to be made more general in another essential manner. So far, these relationships have been considered mainly from the angle of the possible influence and effect of parties on governments: such a standpoint is clearly one-sided. It is not only that parties may exercise more or less influence on governments and at the limit exercise no

influence at all; it is that governments also attempt to influence and at the limit wish to control parties: indeed, some national leaders have created parties to strengthen their influence. Such a  $\frac{9}{100}$ situation is not infrequent: it may be especially noticeable among parties which are relatively new and primarily among those have been set up by national political leaders in office who want to increase their support among the population. This can easily be seen in the context of many Third World parties which are entirely controlled by the leader who created them; but it can be observed to an extent in Western Europe among all the parties which support a government, as these parties are likely be regarded by national leaders and by ministers as instrument's designed to help them in their political campaigns.

This governmental influence on parties can and does take place with respect to all three aspects which we examined earlier. It takes place at the level of favours, as we noted previously the party may want to extract favours, but the government is (arr of the party may want to extract favours, but the government is (arr of the party may want to extract favours, but the government is (arr of the party may want to extract favours, but the government is (arr of the party may want to extract favours, but the government is (arr of the party may want to extract favours, but the government is (arr of the party in a likely to be) the body which decides to distribute the favours in a 'strategic' manner, that is to say to those whom it is feels are critical to win over or support. Governmental influence can and does also take place at the level of policies: ministers are very often likely to take strong steps to force the party to adopt certain items of policy which had so far given no or a very adopt certain items of policy which had so far given no or a very of the party to change their standpoint and to support policies which they had previously rejected. Finally, governmental of the party to change their standpoint and to support of the party to change their standpoint and to support policies which they had previously rejected. Finally, governmental pages to the party to change their standpoint and to support policies which they had previously rejected. Finally, governmental pages to the party to the page the page that the page the page that the page

The Author(s). European University Institute.

influence can and does take place at the level of appointments: leaders and ministers thus often intervene in the selection of memnbers of the party hierarchy, both in the country and in the legislature, particularly at the top, though this pressure may also be exercised at regional or local levels.

need therefore to think of the 'space' of the relationship between parties and governments as extending in terms substance from national policy-making and the composition of the government to the distribution of patronage in while it can vary from dependence popular support, the government on the party, at one extreme, to dependence the party on the government, at the other. Given the complex character of these relationships, it is not surprising that they should vary sharply from country to country and, indeed, that the whole of the 'space' should be not be occupied everywhere. We need to describe along which party-government relationships can be located before examining, at least in a broad manner, characteristics of some 'types' of party-government relations which appear to exist widely in the contemporary world.

#### Dimensions of government-party relationships

The structure of the problems posed by party-government relationships suggests that there must be dimensions along which these relationships take place: as a matter of fact, two dimensions suggest themselves naturally. Yet, as one looks at the

problem more closely, a number of difficulties emerge which canno be satisfactorily overcome. So far at least, it seems that the questions posed can only be partly solved, though a partial solution already constitutes an advance in our understanding of government-party relationships.

The two dimensions which come to mind as soon as one considers the problem are those of <u>autonomy v. interdependence</u>, on the one hand, and of the <u>direction of the dependence</u>, on the other. The description of these dimensions in itself indicates some of the difficulties which will be encountered. For the question of the relationship between governments and the parties supporting them raises two main issues; but these issues are both distinct and interconnected.

the one hand, this relationship varies according the extent to which government and supporting party or parties are autonomous from each other. Indeed, one of the most important aspects of an inquiry into governments must be to identify which these governments can act as autonomous agents; but, if the governments are autonomous, the supporting parties are also autonomous. In practice, we are confronted here with a dimension, governments and parties being more or less autonomous more or less dependent on each other: for instance, it is very likely that there will be areas of autonomy and that these vary from country to country and from time to time. Thus it is sometimes suggested that governments are more often autonomous Digitised version

© The Author(s).

-9-

from parties with respect to foreign affairs than with respect to home affairs.

other hand, if there is a relationship interdependence between governments and supporting parties, relationship can vary markedly from one extreme of dependence of the government on the party or parties supporting it the other extreme of total dependence of the party or parties on the government, with an intermediate point corresponding and reciprocal influence. We saw earlier that parties have often been set up with a view to helping governments particular leaders to maintain and even increase their hold on the nation; but the extent of dependence is likely to vary country to country and indeed over time: we have therefore here also a dimension according to which we can measure (at least theory) the relationship between government and suppporting party or parties in individual countries.

Thus it is sensible - indeed inevitable - that we should describe government-party relationships as being defined by the two dimensions of autonomy v. interdependence and of the direction of the dependence. Yet these two dimensions are manifestly interconnected: only to the extent that relationships are interdependent can one refer to the 'direction' of the dependence. If governments and supporting parties were fully autonomous from each other, there would be no direction of dependence, as there would be no dependence of one on the other: at most one can say

that, in such a case, there would be perfect 'equality' the two elements, an equality which would stem from the absence of any rapport. Of course, in the real world, there is no 'pure' cas of this type: governments and supporting parties have always some the party 'supports' a government rapport; the fact that already a form of rapport. As we indicated earlier, there wil? tend to be policy fields in which there is autonomy and policy fields in which there is interdependence. Thus one can truly refer to a two-dimensional space within which one can define the of government-party relationships in individual countries various moments in time. Yet, in reality, too, because 'direction' of dependence if there is autonomy, countries will only be located in a part of the space which Ras been defined, this part having the shape of a trianglecif which one side is the 'direction of dependence' axis other two sides join each other at the autonomy end of the 'autonomy-interdependence' dimension and at the middle point respect to the 'direction of dependence' dimension. another way of saying that the two dimensions interconnected as one is a condition of the other, but that, given ambiguous character of real-world relationships governments and supporting parties, these two dimensions have also to be regarded as distinct for many practical purposes I). oduced by t

The location of countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the two dimensions is determined by the relationships between the open countries with respect to the open countries with respect to the two dimensions is determined by the relationships between the open countries with the

The Author(s).

governments and the supporting parties with respect to the sets of activities which we described in the previous section. The part played by parties in the composition of governments of governments in the composition of the the role leadership group of the supporting parties are clearly essential with respect to the 'autonomy-interdependence' dimension and with respect to the 'direction of dependence' dimension. Countries in which governments are appointed separately from parties and where governments do not interfere or interfere very little in the composition of the party leadership will tend located towards the 'autonomy' end of the 'autonomyinterdependence' dimension, at least with respect to attempts to exercise influence through the appointments of persons. Countries in which the party appoints the ministers but where the ministers do not affect the composition of the party leadership located towards the interdependence end of the 'autonomyinterdependence' dimension and towards the 'party dominant' end of 'direction of dependence' dimension. Where the government has set up a party whose top leadership group it controls while membership of the government remains independent from the party, the country is located also close to the interdependence end 'autonomy-interdependence' dimension, but towards government-dominant end of the 'direction of dependence ' Many countries are likely to be located distance from these extreme positions.

as was pointed out earlier, the appointments aspect of the problem is only one component; both the policy and distribution of favours components have to be taken into accounty to locate countries in the space in a comprehensive manner. both aspects, however, there are greater difficulties in coming to a satisfactory conclusion. While one can assess, indeed (though, as we shall argue later, with some limitations) the extent to which the members of the government or leadership group of the supporting parties are interdependent or autonomous from each other, such a judgement is more difficult pass with respect to policies and to the distribution of favour's. respect policies, there problems to are about the 'authorship', so to speak, that is to say of about the determination of who originated and subsequenty developed particular proposals; there are also problems arising fact that answers may be markedly different connection with the various substantive fields. We noted earlier that governments are perhaps more autonomous, in general, foreign affairs than in the context of home affairs; the distinction does not stop at this point. Further contrasts may occur within the home affairs fields: governments may thus be more autonomous in economic matters (or at least in most aspects economics) than in social matters. Given these variations, and problem of 'weighting' arises before one can arrive at an overallo assessment of the extent to which a given government 'autonomous', depends on the party or parties which support it or, on the contrary, dominates these parties. Yet such a weighting is

The Author(s).

difficult to discover in a truly rigorous manner: one has therefore to be content with a less precise overall judgement of the position at which a given country should be located in the space with respect to policy elaboration and development.

The difficulties are even greater with respect determination of the location of countries in the context of the distribution of favours. This is in the first place because, already pointed out, a 'veil of ignorance' often exists in this respect: it is then not possible to know what position country occupies in this respect and comparisons across countries become hazardous as a result; second, even when one can find what favours are distributed, it is sometimes difficult to assess whether a given governmental decision has to be classified as 'favour' or is a 'normal' administrative decision; third, the problem of weighting is even more serious than with respect policy-making, given the very large number of small advantages distributed by governments. Moreover, while favours which handed out are evidence of the dependence of governments on parties, they are likely to be compensated for by other advantages received by the government, for instance in the form of electoral or other support. While a government which has to distribute must therefore be regarded as being as a result dependent on the parties which support it, these parties may also be subsequently dependent on the government because of compensating activities which party members may have to undertake.

as we suggested earlier, it is not always clear s on the other in the context of the arrangements where Indeed, which body depends on the other in the context of the distribution favours. The party members which count on these favours cannot if these easily 'afford' to break from the government immediately forthcoming. Moreover, as we also know, favours are also often distributed in order to obtain policy support. Thus the matter raises the general question of the determination of the overall position of a given country in the two-dimensional not easy to achieve a satisfactory assessment of each country's position with respect to each of the components, and the policy-making and favours components, it is of consequently unrealistic to expect to attain a truly the global level. Furthermore, these three components have also to be weighted: the basis for such a weighting altogether easy to discover.

One cannot therefore expect to achieve, and at any yet a precise determination of the position det as countries in the two-dimensional space which we have described Yet there is a further problem which arises from changes in the positions occupied over time by the different countries. is especially likely to occur among countries in which the parties are not yet or alternatively are no longer 'consolidated'. One cam in particular expect substantial movements over time in many Thire in World countries as well as, for the current period at least, Central and Eastern European countries, though one is also likel $ec{y}$ i§ to find some movements among Western countries as well.

The Author(s). European University Institute.

precisely the reason why an over time analysis is important: if a theory of government-party relationships is to be elaborated, we need to be able to assess in what direction or directions these relationships tend to move when the characteristics of parties change. This can be achieved only if one can monitor the changes in these relationships over time on the two-dimensional space which we have defined here.

The problem of the location of countries in this dimensional space thus appears difficult to solve, especially if one wishes to be precise in the determination of the positions each country which is being analysed. If we are to proceed further, we need therefore to look at the concrete situation the extent to which some general assessment can be obtained: if it becomes possible to locate countries, albeit in a rather if we are also able to monitor changes which take the place over time, progress will have been made and 'party government' will cease to be what it is at present, namely a 'terra (almost completely) incognita'.

#### Broad patterns of party-government relationships

Ostensibly at least, a substantial number of countries seem to be characterised by a small number of types of government-party relationships. The best is therefore to start by examining these types in order to see whether they give rise in turn to 'sub-types' which can be regarded as variations around the main

-16- models. This method might also provide at least a partial solution  $\stackrel{\circ}{\mathbb{R}}$ Research to the problem of the analysis of changes over time, since, least in many cases, these changes are relatively slow.

An impressionistic survey of government-party relationships suggests that, while positions at the three corners> of the triangle are unlikely to be often occupied, positions these three corners often are. To begin with, the top corner, which is characterised by the autonomy of governments with respect (as well as, conversely, by the autonomy of parties with respect to governments) corresponds to a form of 'separation' between the two elements which is likely to exist when governments can emerge independently from parties. This situation is likely 205 be found when the executive has a source of legitimacy of its own, as in some monarchical systems where the government 'bureaucratic' type (for instance in 19th century Central Europe), and parties are also 'autonomous'; it occurs also where executive has military support, but the parties have not been abolished (as was to an extent the case in Brazil under rule); above all, it occurs in some presidential systems, if the 'party' of the president is in effect sharply distinct from 'party' which nominally supports the president in the legislature: this case approximates that of the United States and, possibly, of in some other presidential systems. In the American case, however, the large amount of favours which are distributed suggests autonomy of the government vis-a-vis the parties (and of the Digitised version pro parties vis-a-vis the government) may be somewhat reduced.

© The Author(s).

second rather extreme type of government-party relationships is that of the dependent party: cases of this are located towards the bottom corner of the triangle and close to 'party-dependent' end of the 'direction of dependence' This is that of governments which can rely on a dimension. type docile supporting party. If the party is indeed entirely docile does not contribute at all to the personnel of the government in some monarchies where ministers are drawn entourage of the King or from military men and civil servants), the country has then to be located at the extreme end 'direction of dependence' dimension. This kind of situation can be found in a number of new countries, for instance in Black Africa, where the single party is often set up in order to help the leader to both mobilise and control the population. Over time, such a situation changes: in many cases, there will be some reciprocal influence as well as some role of the party in composition of the government; indeed, the party may even play a substantial part in providing a base for the selection of least government members and at be a sounding-board for governmental policies, as has long been the case with the Mexico. Moreover, there may be areas of complete autonomy of the government (as in particular in foreign affairs) and even of party (occasionally on some issues, for instance on matters of conscience).

If one then moves a little from this extreme position, one can see how changes affect the model somewhat. Britain is a

case in point, as it moved gradually from the extreme dominance which characterised government-party that country in the late 18th century; but the relationships in move remained partial and indeed affected the parties extent. The Conservative party may be regarded as still close to the 'government-dominant' end to an extent as in Mexico, the party has a role in the determination of the group from which ministers are selected (parliamentary) party has an effective role in the selection of the leader; on the other hand, the party is the government for the selection of its internal leadership group. There is thus 'fusion' of the leadership of and of the government, a fusion which benefits the government rather than the party. Meanwhile, the policy the party is effectively decided by the leader and a small entourage, although the party may play some part, especially when opposition. Finally, patronage is of limited significance. The Conservative party is thus at some distance, but not from the 'government-dominant' corner of the triangle. It differs somewhat from the Labour party in this respect, as, in this more reciprocal influence, both in terms composition of the government and the party leadership policy-making. Yet the difference is not very marked, as the governmental leadership of the Labour party always insisted > right to implement and even to shape party policy and the party rank-and-file is generally reduced to manifesting its

Digitised version produced by the EUI Library in 2020.

The Author(s).

discontent but can rarely force alternative policies on the leadership.

The other bottom corner of the triangle has traditionally been occupied by Communist States, as the party leadership, rather than the governmental leadership, dictated policy and as the former appointed the latter (and indeed appointed, through nomenklatura system, members of the public service well below the governmental level). This system was also a case of 'fusion', is the case of systems where parties depend on the government, but the 'fusion' is exercised here to the benefit of the party rather than to the benefit of the government or, to use the Communist terminology, of the 'State apparatus'. A key element in configuration is constituted by the high visibility of the party chiefs and the low (or at least lower) visibility of government leaders: the fact that Gorbachev has endeavoured to set up a presidential type of arrangement is an indication that previous system favoured markedly the party and that he proposes in the policy-making process to reduce this role as perhaps in the selection of the governmental personnel. The aim is autonomy of the government or to the reciprocal dependence between government and party, though this last point is less clear, given that the Communist party has prestige in the Soviet Union, although not to the same extent as in other Eastern and Central European States.

Communist States were not the only group of countries in which a substantial dominance of the party over the government has for a long period. Another type is provided by many of typically those parliamentary systems which have coalition governments and in which both the selection of ministers and the determination of policies are markedly influenced by the government parties; moreover, in several of these countries, though not in all, substantial levels of patronage tend also to be found. These systems are not located as close to the corner of the triangle as Communist countries tended to be before 1980s, admittedly; but they are not very distant from this corner What makes the difference is some governmental autonomy degree of counterbalancing governmental influence: ministers are able to put some pressure on their party on policy moreover, though they are rarely able to influence the composition of the top leadership organs of their party, there is often leeway in governmental appointments, at least in some countries  $\frac{\sigma}{2}$ as a result of the choice of persons coming from outside party ranks.

There are thus a number of relatively clear types of government-party relationships, as well as a number of discernible moves taking place over time. These moves seem to lead countries gradually towards positions away from the corners and inside the triangle. Truly central positions do not seem to be often occupied, however. As a result of the extent of consultation taking place between government and party, the case of Swedish

The Author(s).

social democratic governments seems to be the closest which can be found to a central position, though the country appears to occupy a half-way point on the 'direction of dependence' dimension only and does remain close to the 'interdependence' end of the 'autonomy-interdependence' dimension. Moreover, Sweden and perhaps occasionally other Scandinavian countries appear to be the only or at least the clearest examples of such 'middle' positions being taken.

'Central' positions in the triangle are perhaps not occupied because a choice has be made, ultimately, to between 'autonomy' and 'interdependence', leaving some scope for manoeuvre only at the margin. The case of the Fifth French Republic appears interesting in this respect. It was set up on the basis of an governmental autonomy put forward by De against a background of party dominance over the government in the past: thus the aim was to achieve a balance between the In practice, as the system came into being, a docile Gaullist party emerged almost immediately as a response to need to strengthen governmental support: France thus moved to a position not unlike that of Britain under the Conservatives indeed even originally somewhat closer to the 'governmentcorner of the triangle. Government-party relations subsequently moved somewhat from that corner: with the Socialists in power in the 1980s, the location of the French system appeared to be close that of the British system under to

governments, that is to say at some distance from the 'government-dominant' corner of the triangle, but also at a substantial distance from the mid-point of reciprocal influence which appears to characterise Swedish social democratic governments.

The types and moves which have been indicated on impressions rather than firm evidence. They suggest that comparisons and contrasts can be made, however; they also number of broad categorisations is probably relatively small: it seems therefore possible to look for be 'satellite' sub-types and to describe the moves to as taking place around each of the types. Yet we cannot proceed further in such an inquiry unless we improve on 'impressions' and degree of firmness in the characterisations. have therefore to be found in order to describe with a greater degree of precision the positions occupied by each country given points in time. Can these mechanisms be found, given the difficulties which were mentioned earlier in the collection of the data and in the weighting to be given to these data if they are to provide a general description of government-party relationships?

#### What methodology can be used and how precise can it be

While recognising that a precise measurement of the manner in which governments and parties relate to each other is a very distant goal, one can identify already some means of going beyond relatively subjective impressions and of providing

The Author(s).

empirical evidence. This is indeed essential if we do not merely wish to describe situations but attempt to account for government-party relationships, for instance by examining these in the light of the characteristics of the political system and in particular of the party system (6).

already suggested, the assessment of the was nature of the relationships between government and supporting parties can be obtained with some degree of precision with respect to appointments. Data can be collected and, to an extent at least, this data is quantifiable. For this assessment to be comprehensive and thus to provide a realistic basis for the determination government-party relationships, however, data about appointments must provide information about the way in which these are made and about who the decision-makers really are in this context: it is not sufficient to know that ministers are drawn, for (parliamentary) party to conclude that the appointments are party-dependent: the British case, especially in Conservative party, the government leader has considerable leeway in dismissing ministers. and indeed government dependence on the party only if one can trace a significant influence of the party as such (of its executive or of the parliamentary party at large) in the selection process. Moreover, the party cannot be said either to exercise influence if simply happen to be members of the party without having previously belonged to the leadership group or at least been close that leadership group. Evidence

-24-

characteristics can be obtained, but it is not as readily quantifiable as is the number of ministers drawn from the party.

It is also somewhat difficult to be really precise influence taking place in the other direction. Information about the part played by the government in the appointment party leadership group less may be available, as ministers and national leaders may not advertise widely their role in this respect. Yet this information is vital, as only if it is acquired can we discover true nature of government-party relationships: it may be, for instance, that some apparent forms of dependence of the government party or parties supporting it are compensated by an influence in the other direction which is less apparent. We may have to correct impression referred to in the previous section and according to which only in relatively few cases can one find a reciprocal balanced form of dependence between government and supporting parties.

the analysis must achieve more than part of just present data on cabinet memberships. It must provide sophisticated information about the true far appointments are concerned as firm basis for at least one of the components of the that, as has already been investigation of the other two components poses serious problems which are unlikely to be solved more than in part.

The Author(s).

Second, the assessment must none the less endeavour to find means of minimising difficulties with respect to the attribution of policy initiatives to governments and/or to supporting parties. In theory at least, the problem is not one of data collection, though obstacles exist at this level in practice, given the mass of decisions taken by governments: these obstacles may be partly overcome by selecting a limited number of important policy cases over a range of fields.

It is more difficult to discover means of obtaining a precise overall picture which can make intra- and intercountry comparisons truly revealing. To begin with, the real involvement of governments and parties may be blurred as the leaders of both types of bodies may have a political interest in giving the impression that they are jointly involved in the enterprise: there may therefore appear to be more reciprocal interdependence than is truly the case. Moreover, as at least important policies develop over a long period and as they often become markedly modified in the process, it may be theoretically difficult to decide who are the true 'authors': there would then seem to be genuine reciprocal influence.

As a matter of fact, however, some of these difficulties may be met by considering a series of cases, as similarities and differences may emerge from the sheer confrontation between cases both in each country and cross-nationally. One might thus be able to identify degrees of autonomy or interdependence and to

distinguish between types of interdependence by contrasting the various cases, although there will be limits to the extent to which a ranking will be obtained. In order to facilitate the process, a common cross-national framework of analysis of all the cases has to be devised. Cases must also be drawn from a wide range of policy fields, as one needs to assess whether differences in patterns of government-party relationships are truly systematic and are related primarily to the substance of these fields.

The most serious problem which the analysis of thus the problem of development poses is elaboration and 'weighting', both among the policies and between the component and the 'appointment' component of the analysis. Et would be unrealistic to hope to find a truly satisfactory and elegant solution to these questions: it seems only possible do suggest arrangements designed to go beyond impressions but may hoto go as far as providing real rankings. It would be highly valuable to be able to give a score to each case which is studied the the nature of government-party relationships in this case: one could then add the scores to obtain an overall Such an undertaking may be unrealistic, however, largely because it may be difficult to elaborate a common scoring scheme all the countries. One may therefore have to be content with the location of cases in a small number of categories those which were used in the previous section: even this result Digitised version produ would be an improvement, as the location of countries in one

The Author(s).

these groups could be expected to be 'firm' and not to be based merely on 'impressions'.

government-party relationships occur both respect to appointments and with respect to policy elaboration and the two components need to be considered combination, especially in order to see whether they reinforce each other or, on the contrary, whether they pull in different directions. The question has indeed wide significance in terms governmental structure as it relates to a general matter which was referred to earlier, namely whether parties (and, one should governments as well) concentrate on appointment mechanisms to achieve indirectly their policy aims or whether the two types are pursued independently. One needs also to find out whether there are cross-national differences in this respect the strategies of governments and parties tend to be the same.

The third component, that which relates to the distribution of favours and to patronage, is the most difficult to assess in terms of its true extent and importance, as we know. The best that can be hoped is that a survey, even if on a sample basis only, will reveal how much patronage there is and how large are the variations across countries. When this is achieved, one will need to relate these findings to the patterns which will have been discovered with respect to appointments and to policy-making, in order to assess, for instance, whether a wide usage of patronage

reinforces an otherwise large tendency for the government to be dominant or, on the contrary, whether patronage is used in order to compensate for relative governmental weaknesses or for a lack of interdependence between government and supporting parties.

Impressionistic evidence from the United States and from some countries where coalitions governments are the norm suggests that patronage compensates rather than reinforces; but this conclusion may not be universal nor be really true even for the countries in which this conclusion appears valid on the surface.

referred so far to countries: yet countries are note the true units of analysis. Government-party relationships are likely to vary both from party to party and over time: indeed, as was noted earlier, one of the main purposes of the analysis is ato discover the extent of these over-time changes. methodological standpoint, however, the approach remains the same, the only complication arises from the fact that the data base has to be broader; for the analysis of policy development especial by substantial increase in data collection has to be anticipated since one will need to have a number of case-studies party and for each time period if conclusions are to be drawn [9] such an objective may be unattainable for practical partial solution to the problem may be found by undertaking two types of case-studies: in only a small number would there truly detailed examination of all aspects of policy development & while these would be preceded by a survey of a substantiall $\stackrel{\circ}{\mathfrak{D}}$ cases, albeit not examined in depth. This larger number of

The Author(s).

arrangement would help to discover broad trends as well as to see which cases would be most profitably be analysed in detail, for instance because they are typical of a certain type of government-party relationships in a given party at a given point in time.

++++++

Government-party relationships are complex and therefore to be examined carefully. Yet they are difficult study systematically, primarily because we do not have at our disposal instruments enabling us to make precise assessments a comprehensive examination of large numbers of policies. The inquiry must begin, however, even if analysis can reveal only a part, perhaps even a small part, of the detailed relationships which exist between governments and parties which inquiry will open up the sustain them. A first field. It will also do more, as it will provide much needed information about matters which can be regarded as going beyond the specific scope of the topic. To take two examples been apt to underestimate the role of governments in policymaking: an inquiry into government-party relationships will the extent of this role. We know also that there is change in political systems, but the character and extent of often not assessed, let alone measured: a study of government-party relationships will help to discover change does occur behind the appearance of major transformations.

e to look esouch Repository. regimes while others time when many new emerged it valuable to ideology and their structures, is concretely at the forms which these changes take, even if one one point of political life only, especially if this point is as central as that where governments meet political parties.

Digitised version produced by the EUI Library in 2020. Available Open Access on Cadmus, European University Institute © The Author(s). European University Institute.

The Author(s). European University Institute.

-31-

#### NOTES

- 1. This is the paper entitled 'Government and supporting parties: definitions and classifications', which should be regarded asn introduction to the questions discussed in the present paper.
- 2. R.S. Katz, 'Party Government: A Rationalistic Conception', in F.G. Castles and R. Wildenmann, eds., <u>Visions and Realities of Party Government</u> (1986) Berlin: De Gruyter, p. 42 and foll.
- $3.\,$  On the 'power' approach, which was used primarily to analyse coalition formation processes, see
- 4. On this problem, see among others, R.L. Peterson et al., 'Government Formation and Policy Formulation' Res Publica, vol 25, (1983) pp. 49-82.
- 5. See K. Strom, 'Minority Governments in Parliamentary Democracies' Comp. Pol. Stud. vol. 17 (2) (1984), pp. 199-228.
- 6. This is the object of a subsequent paper, entitled 'The political factors accounting for the relationship between government and the parties which support them' which is also prepared for the May 1991 meeting.

The Author(s). European University Institute.



EUI Working Papers are published and distributed by the European University Institute, Florence

Copies can be obtained free of charge – depending on the availability of stocks – from:

The Publications Officer European University Institute Badia Fiesolana I-50016 San Domenico di Fiesole (FI) Italy

Please use order form overleaf

### Publications of the European University Institute

Γο	The Publications Officer European University Institute Badia Fiesolana I-50016 San Domenico di Fiesole (FI) Italy
From	Name
	Address
☐ Please s	send me a complete list of EUI Working Papers send me a complete list of EUI book publications send me the EUI brochure Academic Year 1992/93
Please sen	d me the following EUI Working Paper(s):
No, Autho	or
Title:	
No, Autho	or
Title:	
No, Autho	or
Title:	
No, Author	or
Title:	
Date	
	Signature

The Author(s). European University Institute.

## EUI Working Papers as from 1990

As from January 1990, the EUI Working Papers Series is divided into six sub-series, each series is numbered individually (i.e. EUI Working Paper HEC No. 90/1; ECO No. 90/1; LAW No. 90/1; SPS No. 90/1; EPU No. 90/1; ECS No. 90/1).



December 1991

#### Working Papers in Political and Social Sciences

SPS No. 90/1 Reiner GRUNDMANN/Christos **MANTZIARIS** Habermas, Rawls, and the Paradox of Impartiality

SPS No. 90/2 Hans-Peter BLOSSFELD/Ursula **JAENICHEN** Educational Expansion and Changes in Women's Entry into Marriage and Motherhood in the Federal Republic of Germany

SPS No. 90/3 Nico WILTERDINK Where Nations Meet: National Identities in an International Organisation

SPS No. 90/4 Hans-Peter BLOSSFELD Changes in Educational Opportunities in the Federal Republic of Germany. A Longitudinal Study of Cohorts Born Between 1916 and 1965

SPS No. 90/5 Antonio LA SPINA Some Reflections on Cabinets and Policy-Making: Types of Policy, Features of Cabinets, and Their Consequences for Policy Outputs

SPS No. 90/6 Giandomenico MAJONE Cross-National Sources of Regulatory Policy-Making in Europe and the United States \* \* \*

SPS No. 91/7 Hans-Peter BLOSSFELD Is the German Dual System a Model for a Modern Vocational Training System?

Hans-Peter BLOSSFELD/ Gianna GIANNELLI/ Karl Ulrich MAYER Expansion on the Tertiary Sector and Social Inequality. Is there a New Service Proletariat

Emerging in the Federal Republic of Germany? The Author(s). European University

SPS No. 91/8

SPS No. 91/9 Giandomenico MAJONE Public Policy Beyond the Headlines

SPS No. 91/10 Giandomenico MAJONE Market Integration and Regulation: Europe after 1992

SPS No. 91/11 Jean BLONDEL Ministers of Finance in Western Europe: A Special Career?

SPS No. 91/12 Jean BLONDEL Governments and Supporting Parties: Definitions and Classifications

The Author(s). European University Institute.

SPS No. 91/13 Jean BLONDEL A Model for the Analysis of Government-Party Relationships

SPS No. 91/14
Jean BLONDEL
The Political Factors Accounting
for the Relationship Between
Governments and the Parties
Which Support Them

SPS No. 91/15
Hans-Peter BLOSSFELD/
Yossi SHAVIT
Persisting Barriers: Changes in
Educational Opportunities in
Thirteen Countries



Digitised version produced by the EUI Library in 2020. Available Open Access on Cadmus, European University Institute Research Repository.